

## Mandatory information according to GDPR for participants in SAFE events

### 1. Information on the processing of personal data

Name and contact details of the responsible organization	Leibniz-Institut für Finanzmarktforschung SAFE e.V., House of Finance, Theodor-W.-Adorno-Platz 3, 60323 Frankfurt am Main, Phone: 069/798-30080, Fax: 069/798-30077, e-mail: <a href="mailto:info@safe-frankfurt.de">info@safe-frankfurt.de</a>
Contact details of the data protection officer	Dr. Dennis Voigt, UBG GmbH, Im Breitspiel 21, 69126 Heidelberg, e-mail: <a href="mailto:datenschutz@safe-frankfurt.de">datenschutz@safe-frankfurt.de</a> Phone: +49 69 653 000 623
Categories of personal data being processed	Contact details (title, first name, last name, e-mail address, institution, position, professional group, postcode, country), photographs and video recordings, participation information
Source of the personal data	The data is collected directly from the subjects. If an external photographer is commissioned, we also receive data from this photographer.
Purposes of processing of the personal data	<ul style="list-style-type: none"> <li>a. the invitation of the participant to an event and holding of an event with this participant</li> <li>b. the sending of invitations to other events of interest (if the corresponding option has been selected)</li> <li>c. the sending of a newsletter (if the corresponding option was selected)</li> <li>d. Photo and video recordings of the participants, publication of the recordings on the website and in social media, external representation and presentation of the responsible organization to third parties; presentation and advertising of the event organized by the responsible organization</li> <li>e. the cost-effective and resource-effective implementation of the purposes mentioned under a. to d.</li> </ul>
Legal basis for processing personal data for the purposes	<ul style="list-style-type: none"> <li>a. The legal bases for these data processing operations are Article 6(1), first sentence, lit. b) and Article 6(1), first sentence, lit. f) GDPR. The legitimate interest of the responsible organization lies in the organization and implementation of the event with the participants and the possible promotion of the statutory purposes through this.</li> <li>b. and c. The legal basis is the consent of the person concerned in accordance with Art. 6 para. 1 sentence 1 letter a) GDPR.</li> <li>d. Art. 6 para. 1 sentence 1 letter f) GDPR within the scope of the legitimate interest to use the images on the basis of the consent of the participants.</li> </ul>

	e. The legal basis for these data processing operations is Article 6(1) sentence 1 letter f) GDPR. The legitimate interest of the responsible organization in the disclosure of data to the contract processors commissioned to host and maintain the IT infrastructure consists in the resulting cost-effective and resource-effective implementation of the purposes listed under a. to d.
Recipients or categories of recipients of the personal data	<ul style="list-style-type: none"> <li>• Hosting Company</li> <li>• Social media channels (Twitter, LinkedIn, Facebook)</li> <li>• Agency for the creation of a newsletter</li> <li>• All persons worldwide who access the website of the responsible organization or its social media sites</li> <li>• Cooperation partners</li> <li>• Online-Portal</li> <li>• IT service provider</li> </ul>
Transfers to third countries	There is no export of personal data to countries outside the EU/EEA. However, the website of the responsible person as well as the its social media pages can be accessed worldwide and thus also from places where an adequate level of data protection may not exist.
The period for which the personal data will be stored	<p>The photo and video recordings as well as the personal data belonging to them will be deleted manually by the respective medium in the event of objection or revocation - after expiry of the statutory retention periods and insofar as contractual provisions do not conflict with this.</p> <p>With revocation of the newsletter consent, the respective data record is blocked and deleted manually after 4 weeks after deregistration (exception committee members or VIP status).</p> <p>The settlement data is automatically deleted at the end of the 11th calendar year following a posted business transaction.</p> <p>All other personal data of event participants will be deleted from the application and from all other drives in the first quarter of each calendar year for the entire previous year.</p>

## 2. Necessity of data collection

The collection of data is neither contractually nor legally required. It serves to protect the legitimate interests of those responsible for the cost- and resource-effective external representation and presentation of their own activities and the promotion of the event organized in each case.

### 3. Consent

Insofar as the personal data of the affected person is processed based on a consent, **this consent can be revoked by the affected person at any time with effect for the future**. The withdrawal of consent does not affect the lawfulness of the data processing before the withdrawal.

### 4. Rechte des Betroffenen

The affected person has the following rights and claims under the GDPR against the responsible organization:

- Right of **access** (Art. 15 GDPR)
- Right to **rectification** (Art. 16 GDPR)
- Right to **erasure** ('right to be forgotten') (Art. 17 GDPR)
- Right to **restriction of processing** (Art. 18 GDPR)
- Right to **data portability** (Art. 20 GDPR)

### 5. Right of objection of the person concerned under Art. 21 GDPR

According to Article 21 GDPR, the data subject has **the right to object with effect for the future**, on grounds relating to his or her particular situation, **at any time to processing** of personal data concerning him or her which is based on Article 6(1)(f).

The responsible organization shall then refrain from any further processing of the data unless it can provide compelling legitimate grounds for the processing which override the interests, rights and freedoms of the affected person, or unless the processing is necessary for the establishment, exercise or defense of legal claims.

The data subject may **object to the processing** of the data **for the purpose of direct marketing at any time with effect for the future**. In the event of an objection, the data controller shall refrain from any further processing of the data for the purpose of direct marketing.

### 6. Right to lodge a complaint with a supervisory authority

The affected person has the **right to lodge a complaint with a supervisory authority under Article 77 GDPR**. Without prejudice to any other administrative or judicial remedy, every affected person shall have the right to lodge a complaint with a supervisory authority, in particular in the EU- or EEA-Member State of his or her habitual residence, place of work or place of the alleged infringement if he or she considers that the processing of personal data relating to him or her infringes the GDPR.

For the responsible organization the following data protection supervisory authority is responsible:

The Hessian Commissioner for Data Protection and Freedom of Information  
Gustav-Stresemann-Ring 1  
65189 Wiesbaden

[poststelle@datenschutz.hessen.de](mailto:poststelle@datenschutz.hessen.de)

Phone: 0611/1408 0

Online complaint form: <https://datenschutz.hessen.de/service/beschwerde>

Any affected person is welcome to contact us first.

**Your Leibniz Institute for Financial Research SAFE**